

# On the number of functions in a class of $k$ -valued logic

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## Abstract

Given an  $n$ -ary  $k$ -valued function  $f$ ,  $gap(f)$  denotes the essential arity gap of  $f$ . We obtain an explicit determination of  $n$ -ary  $k$ -valued functions  $f$  with  $2 < gap(f) \leq n \leq k$ . Our methods yield new combinatorial results about the number of  $k$ -valued functions with given gap.

*Key words: essential variable, identification minor, essential arity gap.*  
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## 0 Introduction

Given a function  $f$ , the essential variables in  $f$  are defined as variables which occur in  $f$  and weigh with the values of that function. The number of essential variables is an important measure of complexity for discrete functions.

We shall obtain a few results concerning simplifying of functions by identification of variables.

The essential arity gap ( $gap$ ) of Boolean functions are deeply investigated in [1, 2, 3].

In [4] R. Willard proved that if  $n > k$  then  $gap(f) \leq 2$ .

## 1 Preliminaries

Let  $k$  be a natural number with  $k > 2$  and let  $K = \{0, 1, \dots, k-1\}$  be the set (ring) of remainders modulo  $k$ . An  $n$ -ary  $k$ -valued function (operation) on  $K$  is a mapping  $f : K^n \rightarrow K$  for a natural number  $n$ , called the arity of  $f$ . The set of the all such functions is denoted by  $P_k^n$ .

**Definition 1.1** Let  $X_n = \{x_1, \dots, x_n\}$  be the set of  $n$  variables. A variable  $x_i$  is called essential in  $f$ , or  $f$  essentially depends on  $x_i$ , if there exist values  $a_1, \dots, a_n, b \in K$ , such that

$$f(a_1, \dots, a_{i-1}, a_i, a_{i+1}, \dots, a_n) \neq f(a_1, \dots, a_{i-1}, b, a_{i+1}, \dots, a_n).$$

The set of all essential variables in a function  $f$  is denoted by  $Ess(f)$  and the number of its essential variables is denoted by  $ess(f) = |Ess(f)|$ .

Let  $x_i$  and  $x_j$  be two distinct essential variables in  $f$ . We say that the function  $g$  is obtained from  $f \in P_k^n$  by the identification of the variable  $x_i$  with  $x_j$ , if

$$g = f(x_1, \dots, x_{i-1}, x_j, x_{i+1}, \dots, x_n) = f(x_i = x_j).$$

Briefly, when  $g$  is obtained from  $f$ , by identification of the variable  $x_i$  with  $x_j$ , we will write  $g = f_{i \leftarrow j}$  and  $g$  is called the identification minor of  $f$  and  $Min(f)$  denotes the set of all identification minors of  $f$ .

We shall allow formation of identification minors when  $x_i$  or  $x_j$  are not essential in  $f$ , also. Such minors of  $f$  are called *trivial* and they do not belong to  $Min(f)$ . So, if  $x_i$  does not occur in  $f$ , then we define  $f_{i \leftarrow j} := f$ .

Clearly,  $ess(f_{i \leftarrow j}) \leq ess(f)$ , because  $x_i \notin Ess(f_{i \leftarrow j})$ , even though it might be essential in  $f$ .

**Definition 1.2** Let  $f \in P_k^n$  be an  $n$ -ary  $k$ -valued function. Then the essential arity gap (shortly arity gap or gap) of  $f$  is defined by

$$gap(f) := ess(f) - \max_{g \in Min(f)} ess(g).$$

We let  $G_{p,k}^m$  denote the set of all functions in  $P_k^n$  which essentially depend on  $m$  variables whose arity gap is  $p$  i.e.  $G_{p,k}^m = \{f \in P_k^n \mid ess(f) = m \ \& \ gap(f) = p\}$ , with  $m \leq n$ .

So, we shall consider the case  $2 < k$  and  $n \leq k$ , solving a problem of M. Couceiro and E. Lehtonen, namely:

For each  $1 \leq m \leq |A|$ , determine explicitly the functions  $f : A^n \rightarrow B$  whose arity gap is  $m$  ([1], page 6, Problem 1).

We shall assume that  $A = B = K$ . The most of the results obtained in this case might be easily generalized about finite defined and finite valued functions.

Let  $m \in N$ ,  $0 \leq m \leq k^n - 1$  be an integer. It is well known that for every  $k, n \in N$ ,  $k \geq 2$  there is an unique finite sequence  $(\alpha_1, \dots, \alpha_n) \in K^n$  such that

$$m = \alpha_1 k^{n-1} + \alpha_2 k^{n-2} + \dots + \alpha_n.$$

The last equation is known as the representation of  $m$  in  $k$ -ary positional numerical system. One briefly writes  $m = \overline{\alpha_1 \alpha_2 \dots \alpha_n}$ .

Given a variable  $x$  and  $\alpha \in K$ ,  $x^\alpha$  is an important function defined by:

$$x^\alpha = \begin{cases} 1 & \text{if } x = \alpha \\ 0 & \text{if } x \neq \alpha. \end{cases}$$

In this paper we shall use *sums of conjunctions (SC)* for representation of functions in  $P_k^n$ . This is the most natural representation of the functions in finite algebras. It is based on so called operation tables of the functions.

Each function  $f \in P_k^n$  can be uniquely represented in SC-form as follows

$$f = a_0.x_1^0 \dots x_n^0 \oplus \dots \oplus a_m.x_1^{\alpha_1} \dots x_n^{\alpha_n} \oplus \dots \oplus a_{k^n-1}.x_1^{k-1} \dots x_n^{k-1}$$

with  $m = \overline{\alpha_1 \alpha_2 \dots \alpha_n}$ , and  $\alpha_i, a_m \in K$ , where " $\oplus$ " and "." are the operations addition and multiplication modulo  $k$  in the ring  $K$ .

## 2 Essential Arity Gap of Functions

First, we study the  $n$ -ary  $k$ -valued functions whose arity gap is  $n$ .

Given two natural numbers  $k, n \geq 2$ ,  $Eq_k^n$  denotes the set of all strings over  $K = \{0, 1, \dots, k-1\}$  with length  $n$  which have at least two equal letters i.e.

$$Eq_k^n := \{\alpha_1 \dots \alpha_n \in K^n \mid \alpha_i = \alpha_j, \text{ for some } i, j \leq n, i \neq j\}.$$

**Theorem 2.1** *Let  $f \in P_k^n$ , be a function which depends essentially on all of its  $n$  variables and  $2 < n \leq k$ . Then  $f \in G_{n,k}^n$  if and only if it can be represented as follows*

$$f = [ \bigoplus_{\beta_1 \dots \beta_n \notin Eq_k^n} a_r.x_1^{\beta_1} \dots x_n^{\beta_n} ] \oplus a_0. [ \bigoplus_{\alpha_1 \dots \alpha_n \in Eq_k^n} x_1^{\alpha_1} \dots x_n^{\alpha_n} ], \quad (1)$$

where  $r = \overline{\beta_1 \dots \beta_n}$  and at least two among the coefficients  $\{a_0\} \cup \{a_r \mid r = \overline{\beta_1 \dots \beta_n}, \& \beta_1 \dots \beta_n \notin Eq_k^n\}$ , are distinct.

**Corollary 2.1** *If  $f \in G_{n,k}^n$  and  $2 \leq n \leq k$ , then  $f(\alpha_1, \dots, \alpha_n) = f(0, \dots, 0)$  for all  $\alpha_1 \dots \alpha_n \in Eq_k^n$ .*

**Theorem 2.2** *If  $2 \leq n \leq k$  then*

$$|G_{n,k}^n| = k^{\binom{k}{n} \cdot n! + 1} - k.$$

**Lemma 2.1** *Let  $f \in P_k^n$  be a  $k$ -valued function. If  $x_i \notin \text{Ess}(f_{u \leftarrow v})$ ,  $i, u, v \leq n$  and  $i \notin \{u, v\}$  then  $f_{u \leftarrow v} = g_{u \leftarrow v}$ , where  $g = f_{i \leftarrow j}$  for any arbitrary  $j$ ,  $j \in \{1 \dots n\}$ ,  $j \neq i$ .*

**Lemma 2.2** *Let  $f \in P_k^n$  be a  $k$ -valued function. If  $x_v \notin \text{Ess}(f_{u \leftarrow v})$  for some  $u, v \leq n$ , then  $f_{u \leftarrow v} = g_{u \leftarrow j}$ , where  $g = f_{v \leftarrow j}$  for any arbitrary  $j$ ,  $j \in \{1 \dots n\}$ ,  $j \neq v$ .*

**Lemma 2.3** *Let  $f \in P_k^n$  be a function depending essentially on all of its  $n$  variables and  $1 \leq j < i \leq n$ . If for each pair  $(\alpha_i, \alpha_j) \in K^2$  with  $\alpha_i \neq \alpha_j$ , there exists  $\gamma \in K$  such that  $f(x_1, \dots, x_{j-1}, \alpha_j, x_{j+1}, \dots, x_{i-1}, \alpha_i, x_{i+1}, \dots, x_n) = \gamma$  then  $X_n \setminus \{x_i, x_j\} \subseteq \text{Ess}(f_{i \leftarrow j})$ .*

**Theorem 2.3** *Let  $f$  be a  $k$ -valued function which depends essentially on the all of its  $n$  variables and  $2 < p < n \leq k$ . Then  $f \in G_{p,k}^n$  if and only if there exist  $n - p$  variables  $y_{i_1}, \dots, y_{i_{n-p}} \in X_n$  such that*

$$f(x_1, \dots, x_n) = h(y_{i_1}, \dots, y_{i_{n-p}}) \oplus g(x_1, \dots, x_{n-p}, x_{n-p+1}, \dots, x_n), \quad (2)$$

where  $h$  depends essentially on all of its  $n - p$  variables and  $g \in G_{n,k}^n$  with  $g_{i \leftarrow j} = 0$  for all  $1 \leq i, j \leq n$ .

**Theorem 2.4** *If  $2 < p < n \leq k$ , then*

$$|G_{p,k}^n| = [k \binom{k}{n} \cdot n! - 1] \cdot \sum_{j=p}^n (-1)^{j-p} \binom{j}{p} \binom{n}{j} \cdot k^{k^{n-j}}.$$

The functions depending essentially on three variables which have essential arity gap 2 are special in sense that almost all of the results proved for  $p > 2$  or  $n > 3$  are not satisfied here. In [4] it is shown that all functions with non-trivial (distinct from 1) arity gap belong to the class of functions  $G_{2,k}^n$  when  $n > k$ . This class is deeply investigated and it is proved that it consists of totally symmetric functions.

The class  $G_{2,k}^n$  when  $n \leq k$  is quite interesting, also. We shall pay attention to this case, starting with description of the class  $G_{2,3}^3$ .

**Example 2.1**

*To describe the functions from  $G_{2,3}^3$  we need the following auxiliary functions.*

$$s(x_1, x_2) := \bigoplus_{\beta=\alpha} x_1^\beta x_2^\alpha, \quad u^{(\alpha)}(x_1, x_2) := \bigoplus_{\beta \neq \alpha} x_1^\beta x_2^\beta, \quad v^\alpha(x_1, x_2) := \bigoplus_{\beta \neq \alpha} x_1^\alpha x_2^\beta$$

*Now, we might prove that  $f \in G_{2,3}^3$ , if and only if  $f$  can be represented in one of the following special forms:*

$$f = \bigoplus_{i=0}^2 a_0^{(i)} [x_3^i \cdot s(x_1, x_2) \oplus x_2^i \cdot u^{(i)}(x_1, x_3) \oplus x_1^i \cdot u^{(i)}(x_2, x_3)] \oplus p_3(x_1, x_2, x_3),$$

$$f = \bigoplus_{i=0}^2 a_0^{(i)} [x_1^i \cdot x_2^i \oplus x_1^i \cdot u^{(i)}(x_2, x_3) \oplus x_2^i \cdot u^{(i)}(x_1, x_3)] \oplus p_3(x_1, x_2, x_3),$$

$$f = \bigoplus_{i=0}^2 a_0^{(i)} [x_1^i \cdot x_2^i \oplus x_2^i \cdot v^{(i)}(x_3, x_1) \oplus x_2^i \cdot u^{(i)}(x_1, x_3)] \oplus p_3(x_1, x_2, x_3),$$

$$f = \bigoplus_{i=0}^2 a_0^{(i)} [x_1^i \cdot x_2^i \oplus x_1^i \cdot v^{(i)}(x_3, x_2) \oplus x_2^i \cdot v^{(i)}(x_3, x_1)] \oplus p_3(x_1, x_2, x_3),$$

such that at least two among the coefficients  $a_0^{(0)}, a_0^{(1)}, a_0^{(2)}$  are different and  $p_3$  are arbitrary functions defined by

$$p_3(x_1, x_2, x_3) = \bigoplus_{\alpha_1 \alpha_2 \alpha_3 \notin Eq_3^3} a_m \cdot x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\alpha_3}.$$

**Proposition 2.1**  $|G_{2,3}^3| = 192.729 = 139968.$

Without any difficulties, excluding the more complex calculations, we might generalize these results from  $G_{2,3}^3$  to  $G_{2,k}^3$  for arbitrary  $k, k \geq 3.$

**Proposition 2.2**  $|G_{2,k}^3| = 8.729 \cdot \binom{k}{3} \cdot (k^k - k) = 5832 \cdot \binom{k}{3} \cdot (k^k - k).$

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